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3713

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

Shridhar P. Joshi

Application No.: 09/965,163

Filed: September 27, 2001

For: GAMING MACHINE WITH  
SWEEPSTAKES ENTRY  
DISPENSER

) Atty. Docket No.: 47079-0117

) Examiner: Alex P. Rada

) Art Unit: 3713

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on April 30, 2002.

Signature: Wendy Howitt  
Wendy Howitt

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is in response to the "Notice of Non-Compliant Amendment" dated April 10, 2002, a copy of which is enclosed. The period for response is one month from the mailing date, i.e., by May 10, 2002, and this response is being submitted within that time period.

Applicants resubmit herewith the Reply to Office Action originally filed on March 6, 2002, along with the requested clean set of claims.

Respectfully submitted,

Michael Blankstein

Date: April 30, 2002

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,163	09/27/2001	Shridhar P. Joshi	47079-0117	3932

7590 04/10/2002  
Michael J. Blankstein  
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EXAMINER	RADA, ALEX P.
ART UNIT	PAPER NUMBER

3713

DATE MAILED: 04/10/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

WMS INDUSTRIES INC.

APR 15 2002

LAW DEPT.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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MAY 10 2002

EXAMINER

ART UNIT

PAPER NUMBER

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## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 03-15-02 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

- ☐ 1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
- ☐ 2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii).
- ☒ 3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i).
- ☒ 4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii).
- ☒ 5. Other Please Resubmit the Clean & Mark up copy of Amended Claims
- ☐ PRELIMINARY AMENDMENT: Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132; and this ONE MONTH time limit is not extendable.
- ☒ AMENDMENT AFTER NON-FINAL ACTION: Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

  
Legal Instruments Examiner